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Delayed Kinetics of IgG, but Not IgA, Antispike Antibodies in Transplant Recipients following SARS-CoV-2 Infection

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ABSTRACT

Background Kidney transplant recipients are at increased risk of severe outcomes during COVID-19. Anti-bodies against the virus are thought to offer protection, but a thorough characterization of anti-SARS-CoV-2 immune globulin isotypes in kidney transplant recipients following SARS-CoV-2 infection has not been reported.

Methods We performed a cross-sectional study of 49 kidney transplant recipients and 42 immunocompetent controls at early (≤14 days) or late (>14 days) time points after documented SARS-CoV-2 infection. Using a validated semiquantitative Luminex-based multiplex assay, we determined the abundances of IgM, IgG, IgG1–4, and IgA antibodies against five distinct viral epitopes.

Results Kidney transplant recipients showed lower levels of total IgG antitrimeric spike (S), S1, S2, and receptor binding domain (RBD) but not nucleocapsid (NC) at early versus late time points after SARS-CoV-2 infection. Early levels of IgG antispike protein epitopes were also lower than in immunocompetent controls. Anti–SARS-CoV-2 antibodies were predominantly IgG1 and IgG3, with modest class switching to IgG2 or IgG4 in either cohort. Later levels of IgG antispike, S1, S2, RBD, and NC did not significantly differ between cohorts. There was no significant difference in the kinetics of either IgM or IgA antispike, S1, RBD, or S2 on the basis of timing after diagnosis or transplant status.

Conclusions Kidney transplant recipients mount early anti–SARS-CoV-2 IgA and IgM responses, whereas IgG responses are delayed compared with immunocompetent individuals. These findings might explain the poor outcomes in transplant recipients with COVID-19.

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Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection of kidney transplant recipients is associated with increased mortality compared with immunocompetent individuals. Although poor outcomes in patients with transplants suggest an impaired immune response to SARS-CoV-2 infection, studies of anti–SARS-CoV-2 antibody responses have provided conflicting results. Some reports indicate that transplant recipients generate normal levels of total IgG upon SARS-CoV-2 infection, ⁴⁻⁷ but the antibody decline might be more rapid than

in immunocompetent subjects.^{8,9} Recent studies documented a lower antibody response

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(6.2%–17%) after the first dose of mRNA vaccination in kidney transplant recipients, ^{10–12} contrasting with the robust early immunogenicity observed in the general population. ^{13–15} Patients with ESKD receiving dialysis demonstrated a mostly intact early response to vaccination, with 87% sero-conversion. ¹¹ Importantly, little is known about the dynamics of various immune globulin classes and isotypes after natural infection in transplant recipients. Studies published to date have utilized various antibody detection assays, which further complicates data interpretation and comparison.

In this study, we adopted a recently developed and validated high-throughput multiplex antibody detection assay¹⁶ to interrogate the spectrum of antibody responses to SARS-CoV-2 in a cohort of kidney transplant recipients and in nontransplanted, immunocompetent individuals.

METHODS

Study Population

Our study included all consecutive consenting adult kidney transplant recipients followed up at Mount Sinai or Montefiore Medical Center (both in New York, NY) with an ongoing or prior SARS-CoV-2 infection diagnosed through RT-PCR of nasopharyngeal swab samples. Serum samples were collected from April 2020 to February 2021 during hospitalization or at follow-up clinic visits. Serial samples were collected from nine patients.

Immunocompetent subjects with a coronavirus disease 2019 (COVID-19) PCR-positive nasopharyngeal swab were enrolled from the Emory Hospitals and outpatients between March 2020 and January 2021. From these control subjects, we identified individuals who were matched for age and time after PCR-based diagnosis with the kidney transplant recipients. Two control subjects were later excluded on the basis of a history of autoimmune disease. We recorded epidemiologic, clinical, and laboratory data in an ad hoc database. We graded disease severity per previously published reports. ¹⁷

For analysis, subjects were divided into early (first 14 days) and late (15 days and later) cohorts on the basis of time between PCR diagnosis and sample collection for antibody testing.

The study received appropriate approval of the ethics and scientific committees of the participating centers (institutional review board [IRB] titles/numbers: STUDY-20–01922, Mount Sinai Medical Center; IRB-2020–11662, Montefiore Medical Center; IRB-58271, Emory University; and IRB-56413, Stanford University). All patients and controls provided informed consent.

Blood Collection, Serum Isolation, and Storage

Blood was collected in sterile tubes, allowed to clot, and then centrifuged to separate the serum. Samples were aliquoted and stored at -80° C until analyses.

Significance Statement

Analyses of the incidence, relative kinetics, and spectrum of anti–SARS-CoV-2 antibodies in kidney transplant recipients are not as detailed as they are for immunocompetent controls. In this multicenter, cross-sectional study of 49 kidney transplant recipients with PCR-confirmed SARS-CoV-2 infection, we found that anti–SARS-CoV-2 IgG production is delayed but that IgM and IgA responses are similar compared with those observed in immunocompetent controls. Therefore, antiviral humoral immunity is delayed but preserved in kidney transplant recipients. This finding is important in understanding the immune response against SARS-CoV-2 in patients on chronic immunosuppression and may provide insights into devising strategies to monitor antibody responses to infection and vaccination.

Anti-SARS-CoV-2 Antibody Measurement

Detection of SARS-CoV-2-specific IgG antibodies directed against the full trimeric spike protein; the individual spike 1 (S1), spike 2 (S2), and receptor binding domains (RBDs) of the spike protein; and the nucleocapsid (NC) protein was performed with the One Lambda single-antigen bead assay, as previously described (LABScreen COVID Plus; One Lambda, Canoga Park, CA), 16 and then analyzed on a Luminex FLEXMAP 3D instrument (Luminex Corp., Austin, TX). IgA, IgM, and IgG1/G2/G3/G4 antibody detection was performed with R-Phycoerythrin AffiniPure goat antihuman serum IgA α-chain specific (Jackson ImmunoResearch, West Grove, PA; catalog no. 109-115-011), PE-conjugated anti-human IgM (One Lambda, West Grove, PA; catalog no. IGM-PEC1), mouse anti-human IgG1 (Invitrogen; catalog no. MH1013), mouse anti-human IgG2 (Invitrogen; catalog no. 05-3500), mouse anti-human IgG3 (Invitrogen; catalog no. 05-3600), and mouse anti-human IgG4 (Invitrogen; catalog no. A-10651), respectively. 18

Statistical Analyses

Graphs and statistics were completed in GraphPad Prism (GraphPad Software, La Jolla, CA). We expressed results as means and SDs or SEM sunless stated otherwise. Two-way comparison of two or more matched groups was computed using two-way ANOVA using the Kruskal–Wallis test as appropriate. Distributions were compared using the Kolmogorov–Smirnov two-tailed unpaired t test, and categorical variables were compared by the two-sided chisquared or two-sided Fisher exact test, where applicable. P values were computed to assess significance of individual comparisons, with a value of P=0.05 considered as statistically significant.

RESULTS

To better understand the antibody response to SARS-CoV-2 infection in transplant recipients, we analyzed 58 serum samples collected from 49 recipients of kidney transplants

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with PCR-confirmed diagnosis of COVID-19. The majority of patients were men (57%), with a median age of 57 (interquartile range, 42-65) years. Immunosuppression at time of sample draw mainly consisted of calcineurin inhibitors and steroids with or without antiproliferative agents. Subjects were divided into early (first 14 days) and late (15 days and later) cohorts on the basis of time after PCR diagnosis. The early cohort had significantly higher dialysis requirements and lower lymphocyte counts compared with the late cohort at the time of sample acquisition. Both the early and late cohorts of transplant recipients had similar antimetabolite exposure pre- and post-COVID-19 diagnosis; the mean daily mycophenolate dose pre-COVID-19 was 1250 mg (SD: 583 mg) compared with a post-COVID-19 diagnosis dose of 421 mg (SD: 526 mg) (Table 1). Characteristics of the early and late control groups are also presented in Table 1.

We assessed antibodies directed against the SARS-CoV-2 trimeric spike protein (spike), S1, spike RBD, S2, and NC epitopes using a bead-based multiplex assay. 16 As shown in Figure 1, there were lower levels of total IgG antispike, S1, S2, and RBD but not NC in samples from SARS-CoV-2-positive transplant recipients obtained earlier compared with later. IgG antibodies directed against all spike epitopes were also lower when compared with samples from matched immunocompetent subjects obtained within the first 14 days after diagnosis. These antibodies were predominantly IgG1 and IgG3 compared with class switching to IgG2 or IgG4 in either cohort (Supplemental Figure 1). Patterns of IgG1 and IgG3 differences between early versus late time points and patients with transplants versus immunocompetent subjects largely mirrored those seen for total IgG. Interestingly, late levels of total IgG antitrimeric spike, S1, S2, RBD, and NC from transplant recipients were not significantly different from those obtained >14 days after confirmed infection from matched immunocompetent controls. There was a nonstatistically significant trend toward increasing IgG levels directed toward spike epitopes between early and late immunocompetent controls. Taken together, these data indicate a delayed IgG response specific to the SARS-CoV-2 spike protein in kidney transplant recipients that reaches normal levels in the convalescent phase.

As a means to determine the capacity to generate protective IgG immune responses to coronaviruses in transplant recipients versus immunocompetent controls, we also assessed convalescent levels of IgG directed against four common cold coronaviruses in all four cohorts. As shown in Supplemental Figure 2, levels of these antibodies were comparable between all four cohorts; the sole exception was anti-OC43 spike S1 in the transplant and control late groups. These data are consistent with those for antibody response to SARS-CoV-2 that show that kidney transplant recipients eventually reach normal antiviral antibody levels, although their kinetics may be delayed.

We also assessed IgM and monomeric IgA from the serum of the same subjects (Figure 2). There was a nonstatistical trend toward lower levels of IgM antispike epitopes early versus later after infection in transplant recipients but not in immunocompetent subjects. In contrast to IgG levels, there was no significant difference in IgA antispike, S1, RBD, or S2 IgA in early versus late disease. Antibody levels from transplant recipients were similar to those found in SARS-CoV-2 immunocompetent controls at both time points. Taken together, these data suggest that, unlike IgG responses, class switching to IgA occurs with a normal time course following infection with SARS-CoV-2.

We next assessed changes in total IgG, IgM, and IgA over time. Scatterplots demonstrate that IgG antispike (all epitopes tested) but not anti-NC antibodies are higher at later time points after infection (Figure 3A) (data not shown). There is also a time-dependent rise in IgM antifull spike, anti-S1, and anti-RBD but not anti-S2 or NC (Figure 3B). In contrast to the time-dependent rise in IgG, analysis of IgA levels versus time indicated that IgA antispike (all epitopes tested) does not significantly rise with time and that IgA anti-NC decreases with time after initial infection (Figure 3C). Longitudinal samples, including early (≤14 days) and late (>14 days), were available in four kidney transplant recipients. In one subject with 23 days between the samples collected, the kinetics of IgA were more rapid than those of IgG for antispike but not anti-NC antibodies (Figure 4A). We next analyzed all four subjects with both an early and late sample available. Consistent with the full cohort data, there was a trend toward increasing IgG antispike but not anti-NC, although this did not reach statistical significance. There was a similar trend toward increased IgM antispike in these four individuals. In contrast, IgA antispike levels did not have a consistent change. Together, these data suggest that class switching to IgA occurs very early in the disease course and may decay at a more rapid rate than IgG isotypes.

DISCUSSION

Increased mortality in kidney transplant recipients with COVID-19 and impaired early response to the SARS-CoV-2 vaccine have been matters of concern. Interestingly, the data in this study indicate that although antiviral IgG production in kidney transplant recipients is delayed, the later levels of total and of various subclasses of IgG are similar to those observed in immunocompetent individuals.

IgM production was not delayed in response to SARS-CoV-2 infection, suggesting that extrafollicular and T cell-independent humoral responses are not significantly impaired by immunosuppressive maintenance therapy. Conversely, although IgG production can occur in the context of an extrafollicular response, ^{19–21} it is primarily a germinal center product with class switching of B cells that requires

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Table 1. Demographics

Characteristic	Transplant Early, n=16	Transplant Late, n=33	Immunocompetent Controls Early, n=19	Immunocompetent Controls Late, <i>n</i> =23	P Value ^a
Age, median (IQR)	60 (41–67)	54 (42–65)	59 (31–66)	56 (36–64)	0.90
Sex, no. (% men)	11/16 (69%)	17/33 (52%)	11/19 (58%)	6/23 (26%)	0.05 ^b
Race and ethnicity					0.04
Non-Hispanic White participants	0/16	4/33 (12%)	5/19 (26%)	9/23 (39%)	
Non-Hispanic Black participants	6/16 (38%)	10/33 (30%)	4/19 (21%)	7/23 (30%)	
Hispanic participants	10/16 (63%)	15/33 (45%)	10/19 (53%)	5/23 (21%)	
Other	0/16	4/33 (12%)	0/19	2/23 (9%)	
Years since transplantation, median (IQR)	2.2 (0.1–6.1)	4.3 (2.0–8.3)	N/A	N/A	0.06
Calcineurin inhibitor at time of sample draw	15/16 (94%)	30/33 (91%)	N/A	N/A	0.70
Mycophenolate mofetil at time of sample draw	5/16 (31%)	12/33 (36%)	N/A	N/A	0.70
Steroids at time of sample draw	14/16 (88%)	31/33 (94%)	N/A	N/A	0.40
Mycophenolate mofetil dose decreased at time of sample draw ^c	12/13 (92%)	21/25 (84%)	N/A	N/A	0.50
Daily preinfectious mycophenolate mofetil dose, mg, mean (SD) ^c	1115 (582)	1320 (593)	N/A	N/A	0.30
Daily mycophenolate mofetil dose (mg) at time of sample draw, mean (SD) ^{c,d}	346 (473)	460 (557)	N/A	N/A	0.60
Required dialysis after SARS- CoV-2 diagnosis ^e	11/16 (69%)	12/33 (36%)	1/10 (10%)	3/3 (100%)	0.004 ^{b,f,g,h}
Peak creatinine after SARS-CoV- 2 diagnosis if dialysis not required, median (IQR) ^e	1.6 (1.5–2.7)	2 (1.1–3)	0.8 (0.7–0.9)	N/A	0.004 ^g
Lymphocyte count (1000/μl) at time of sample draw, median (IQR) ⁱ	0.4 (0.2–1)	1.1 (0.7–1.6)	1.2 (0.8–1.3)	1.1 (0.3–1.1)	0.03 ^{f,g}
Peak clinical severity score, median (IQR)	5 (4–7)	4 (3–6)	6 (1.5–6)	1.5 (1.5–5)	0.01 ^h
Days postdiagnosis, median (IQR)	4 (2–7)	44 (21–60)	5 (2–7)	36 (22.5–49)	<0.001 ^{b,f}

Transplant early indicates that samples are drawn from kidney transplant recipients 14 or fewer days after SARS-CoV-2 diagnosis. Transplant late indicates that samples are drawn from kidney transplant recipients > 14 days after SARS-CoV-2 diagnosis. Immunocompetent controls early indicates that samples are drawn from immunocompetent controls 14 or fewer days after SARS-CoV-2 diagnosis. Immunocompetent controls late indicates that samples are drawn from immunocompetent controls > 14 days after SARS-CoV-2 diagnosis. Peak clinical severity score: one, not hospitalized with resumption of normal activities; two, not hospitalized but unable to resume normal activities; three, hospitalized, not requiring supplemental oxygen; four, hospitalized, requiring supplemental oxygen; five, hospitalized, requiring nasal high-flow oxygen therapy, noninvasive mechanical ventilation, or both; six, hospitalized, requiring extracorporeal membrane oxygenation, invasive mechanical ventilation, or both; and seven, death. IQR, interquartile range; N/A, not applicable.

help from T follicular helper cells.²² As immunosuppressive drugs used to prevent allograft rejection largely target both T cells and B cells, antiviral IgG responses in organ transplant recipients are commonly impaired.²³ Conversely, IgG production against SARS-CoV-2 was delayed but not significantly impaired in our cohort of patients. This atypical

response might have been facilitated by the tapering of immunosuppression during infection in individuals with COVID-19. Prior studies have shown an impaired antibody response against the SARS-CoV-2 vaccine in organ transplant recipients on antimetabolites. ^{10,24–26} In our cohort, over 80% underwent significant reduction or withdrawal of

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^a *P* value for difference between groups. Superscripts are present if the *P* value for difference between transplant early and transplant late, transplant early and immunocompetent controls early, transplant late and immunocompetent controls late, or immunocompetent controls early and immunocompetent controls late is 0.05.

 $^{^{}b}P$ =0.05 for comparison between immunocompetent controls early and immunocompetent controls late.

^cNo prediagnosis mycophenolate mofetil for three of 16 transplant early subjects and eight of 33 transplant late subjects.

dMycophenolate dose decreased to 0 mg/d in eight of 13 transplant early subjects and 13 of 25 transplant late subjects.

^eData unavailable for nine of 19 immunocompetent controls early and 20 of 23 immunocompetent controls late.

^fP=0.05 for comparison between transplant early and transplant late.

⁹P=0.05 for comparison between transplant early and immunocompetent controls early.

^hP=0.05 for comparison between transplant late and immunocompetent controls late.

Data unavailable for 13 of 19 immunocompetent controls early and 20 of 23 immunocompetent controls late.

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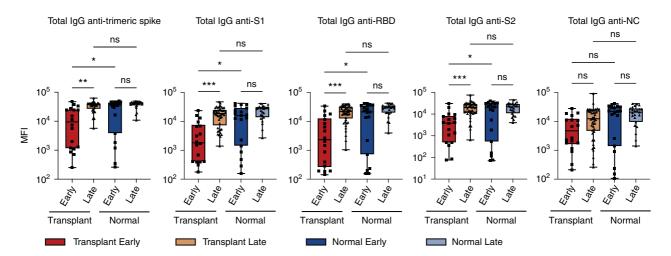


Figure 1. Generation of IgG antispike but not anti-NC antibodies is dependent on time after diagnosis. Levels of total IgG specific for trimeric spike, S1, RBD, S2, or NC epitopes. Serum is from (dark red) transplant recipients \leq 14 days after diagnosis, (brown) transplant recipients >14 days after diagnosis, (dark blue) immunocompetent individuals \leq 14 days after diagnosis, and (light blue) immunocompetent individuals >14 days after diagnosis. MFI, mean fluorescence intensity; ns, not significant. *P=0.05; **P=0.01; ***P<0.001.

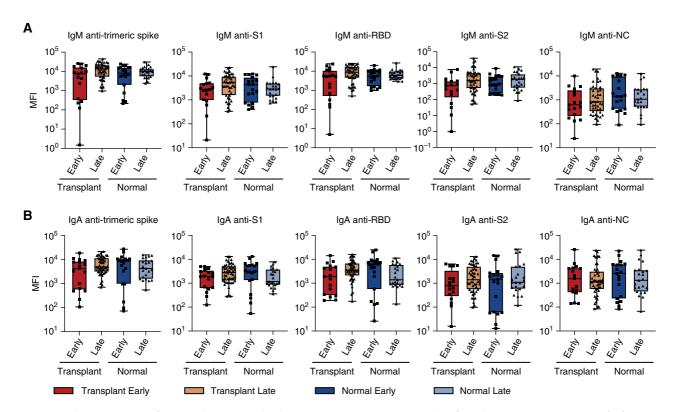


Figure 2. Early generation of IgM and IgA to multiple SARS-CoV-2 epitopes. Levels of total (A) IgM or (B) IgA specific for trimeric spike, S1, RBD, S2, or NC epitopes. Serum is from (dark red) transplant recipients \leq 14 days after diagnosis, (brown) transplant recipients \geq 14 days after diagnosis, (dark blue) immunocompetent individuals \leq 14 days after diagnosis, and (light blue) immunocompetent individuals \geq 14 days after diagnosis. Comparisons with a statistically significant difference are indicated. MFI, mean fluorescence intensity.

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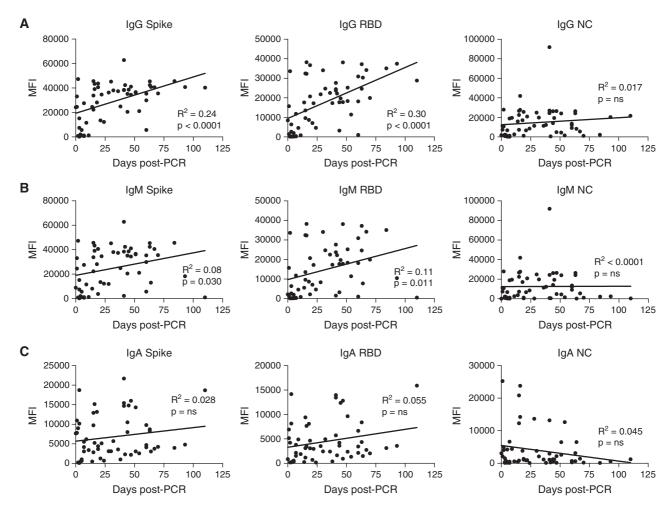


Figure 3. Kinetic analysis of IgG, IgM, and IgA. (A) IgG, (B) IgM, and (C) IgA levels specific for trimeric spike, RBD, or NC on the basis of MFI plotted against the number of days after PCR-based diagnosis of SARS-CoV-2 infection for samples obtained up to 100 days after diagnosis. The line is derived from simple linear regression. *P* values indicate the significance of the line slope. ns, not significant. MFI, mean fluorescence intensity.

mycophenolate mofetil after infection, which likely explains the normal IgG levels at later time points. Although not mutually exclusive, an alternative hypothesis is that in individuals with COVID-19, IgG production is predominantly extrafollicular and potentially T cell independent. Nonetheless, the fact that IgG production was impaired during the acute phase of the disease may explain, at least in part, the previously reported increased morbidity and mortality associated with COVID-19 in this population.

The presence of IgG anti-SARS-CoV-2 spike protein antibodies in a majority of our cohort of infected individuals at over 1 month after infection is in stark contrast to the antibody response after vaccination in this population, where less than half of these individuals reach a positive response after two standard doses of mRNA vaccine. There are several potential explanations for this discrepancy. First, natural infection occurs via a respiratory route, leading to the orchestration of an innate immune response

that involves activation of local dendritic cells and epithelial cells of the respiratory tract. This context is potentially more prone to an effective immune response than the muscle in which the vaccine is delivered. Second, the vaccine has only spike protein epitopes, whereas natural infection has four structural and 23 nonstructural proteins that are coordinately expressed.²⁸ Third, natural infection results in a significant amount of systemic inflammation with Tolllike receptor activation not seen with vaccination. In many subjects of our cohort, this was prolonged due to the severity of illness, potentially leading to a more robust response. In this proinflammatory context, reduction of immunosuppression might have been particularly important in boosting an antibody response. Understanding which of these mechanisms is responsible may provide insight into improved vaccination strategies in this at risk population.

Secretory IgA plays a crucial role in protecting mucosal surfaces against pathogens.²⁹ Importantly, serum IgA has

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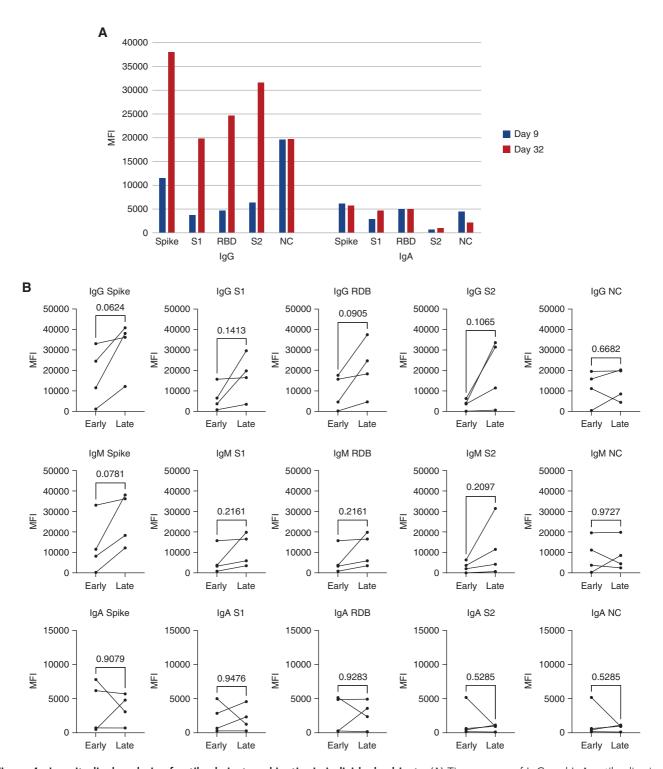


Figure 4. Longitudinal analysis of antibody isotype kinetics in individual subjects. (A) Time course of IgG and IgA antibodies in a single patient. Sera in blue columns are from day 9 after diagnosis, and sera in orange columns are from day 32 after diagnosis. (B) Comparison of early versus late time points from four subjects. Lines indicate samples from the same subject. *P* values are calculated using a two-tailed paired *t* test. MFI, mean fluorescence intensity.

more potent neutralizing activity against SARS-CoV-2 than IgG.³⁰ However, little is known about anti-SARS-CoV-2 IgA response in kidney transplant recipients. Our study demonstrates that transplant recipients effectively class

switch to IgA early in the disease course. IgA class switching may occur through T cell-independent pathways³¹ and could explain why this Ig class is less affected by immunosuppressive therapy. In particular, lack of correlation

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between plasmablasts and T follicular helper cell expansion observed in SARS-CoV-2-infected individuals is consistent with germinal center-independent induction of IgA occurring during COVID-19, but more studies are needed to confirm this intriguing hypothesis.^{21,30,32}

There are several limitations to this study, including the relatively small sample size and its statistical power, which might reduce the generalizability of our findings. Yet, the inclusion of immunocompetent controls for each time period after infection strengthens our conclusions. Matching for peak clinical severity of disease was not perfect in the late immunocompetent group, which has the potential of leading to altered antibody strength in this group. Lack of serial samples for most of the included individuals is another limitation. However, the trends observed in individuals with serial serum collection substantiate the conclusions obtained by the remainder of the cross-sectional data. Kidney transplant recipients were the only transplant type evaluated. Given differences in maintenance immunosuppression, these findings may not be generalizable to recipients of other organs.

Collectively, our data indicate that kidney transplant recipients mount early IgM and IgA responses against SARS-CoV-2, whereas IgG responses are delayed. This may at least in part explain the poor outcomes of kidney transplant recipients with SARS-CoV-2 infection. Our data are likely to extend to other individuals on chronic immunosuppression.

DISCLOSURES

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associate editor. I. Sanz reports consultancy agreements with BMS, Celgene, GSK, Janssen, Kyverna, and Visterra; ownership interest in Kyverna; research funding from Exagen and GSK; honoraria from BMS/Celgene, GSK, Janssen, and Visterra; and scientific advisor or membership with Kyverna. E.S. Woodle reports consultancy agreements with Novartis and Sanofi; research funding from Amgen, Bristol Myers Squibb, Novartis, and Veloxis; honoraria from Novartis and Sanofi; and speakers bureau with Sanofi. All remaining authors have nothing to disclose.

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P. Cravedi, A. Girnita, and J.S. Maltzman conceived, designed, and oversaw the project; P. Ahearn, P. Cravedi, J.S. Maltzman, L. Wang, and T. Yalamarti performed the analyses and interpreted the data; Y. Azzi, M. Billah, S. Hartzell, A. Jain, and M.C. Menon recruited the patients with transplants and processed the samples; N.S. Haddad, F.E.-H. Lee, A. Morrison-Porter, and I. Sanz recruited control subjects and contributed samples; E. Akalin, M. Fernandez-Vina, H.M. Gebel, F.E.-H. Lee, I. Sanz, and E.S. Woodle helped in critically interpreting the data; P. Ahearn, P. Cravedi, A. Girnita, and J.S. Maltzman wrote the manuscript; and all authors reviewed and edited the manuscript.

SUPPLEMENTAL MATERIAL

This article contains the following supplemental material online at http://jasn.asnjournals.org/lookup/suppl/doi:10.1681/ASN.2021040573/-/DCSupplemental.

Supplemental Figure 1. Measurement of IgG subtype antibodies specific for SARS-CoV-2.

Supplemental Figure 2. Measurement of IgG to common cold coronaviruses.

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